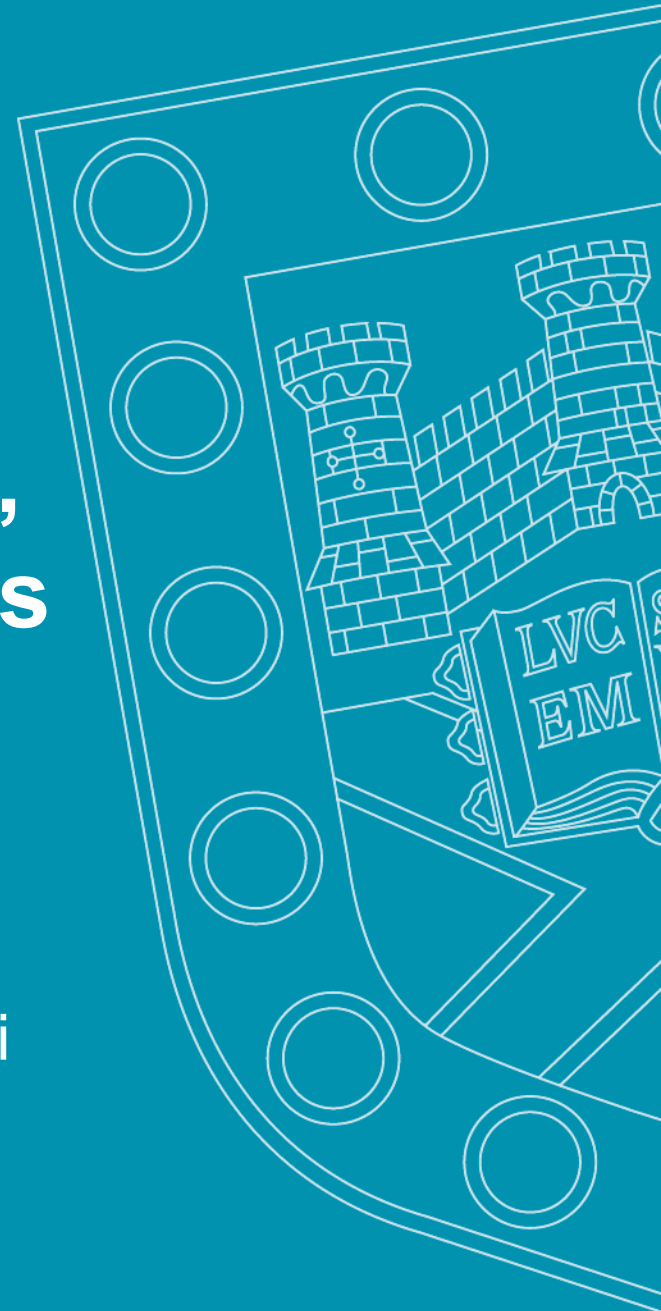


# **The Cogs of Policy Learning: Mechanisms, Triggers and Hindrances**

Claire A. Dunlop and Claudio M. Radaelli

University of Exeter



# Paper's Motivation & Plan

Can we advance a mechanistic framework of policy learning?

Specifically, what are the *mechanisms for learning*?

We outline:

- (1) Model of learning varieties
- (2) Realist approach to mechanisms
- (3) Mechanisms that generate learning
- (4) Triggers and constraints



# Defining Policy Learning

Learning as updating of beliefs about public policy based on lived experiences, analysis or social interaction

Mechanisms need to capture this process of knowledge acquisition and belief updating

Specifically, mechanisms underpinning four ideal types of learning: epistemic, reflexive, bargaining and hierarchy



# Varieties of Learning (Dunlop and Radaelli, 2013)

**Figure 1: Mapping the Four Genera of the Policy Learning Literature**

|                         |      | PROBLEM TRACTABILITY |                                     |
|-------------------------|------|----------------------|-------------------------------------|
|                         |      | LOW                  | HIGH                                |
| CERTIFICATION OF ACTORS | LOW  | Reflexive Learning   | Learning through Bargaining         |
|                         | HIGH | Epistemic Learning   | Learning in the Shadow of Hierarchy |



# Realist Approach to Mechanisms

Mechanisms act on the individual – *homo discentis* – at micro, meso and macro levels of interaction

Realist view: mechanisms generate an outcome in a given time or space context

Mechanisms may result in dysfunctional learning forms

Mechanisms' triggers and hindrances exist in structures and agency



| Learning as ...          | Epistemic                           | Reflexive                       | Bargaining                 | Hierarchical                       |
|--------------------------|-------------------------------------|---------------------------------|----------------------------|------------------------------------|
| Predominant actors ...   | experts                             | citizens                        | interests                  | courts                             |
| Logic guiding action ... | cognition                           | appropriateness                 | consequence                | habit                              |
| Mechanism ...            | teaching                            | dialogue                        | exchange                   | compliance                         |
| What is learned?         | cause-effect relationships          | norms                           | composition of preferences | scope of rules                     |
|                          | policy-relevance of science         | learning how to learn (deutero) | costs of cooperation       | significance and rigidity of rules |
| What is it good for?     | uncertainty reduction               | upholding legitimacy            | exposing Pareto frontier   | monitoring                         |
|                          | links between policy means and ends | conflict resolution             | intelligence of democracy  | sanctioning                        |

| Learning as                | Epistemic                           | Reflexive  | Bargaining  | Hierarchical                      |
|----------------------------|-------------------------------------|--|---|-----------------------------------|
| <b>Mechanism</b><br>...    | teaching                            | dialogue   | exchange  | compliance                        |
| <b>Triggered by</b><br>... | open attitude to science            | willingness to move position                           | low barriers to contract and preference aggregation | suitable political culture        |
|                            | cooperative institutional structure | convened deliberative spaces                           | repeated interactions                               | trust in institutions             |
| <b>Hindered by</b><br>...  | scientific scepticism               | incommensurable beliefs                                | winners and losers are always the same              | JDT                               |
|                            | low policy capacity                 | absence of deliberative tradition                      | options for defection are cheap                     | veto players                      |
|                            |                                     |  |   | availability of solutions at top  |
| <b>Pathologies as ...</b>  | teaching the wrong lesson           | de-coupling between deliberative for and public choice | different endowments of resources                   | blocked learning                  |
|                            | mobilisation of counter-epicomms    | domination   | limited options for radical innovation              | limited adaptation to environment |

# Conclusions

Next steps ....

- Distinguishing mechanisms at different levels
- Impact on design architectures
- Empirical exploration and counter-examples
- Temporal dimension and sequencing

Treating learning as a dependent variable is just the start

- Learning as independent – mechanisms linking learning with change

